

## NOTICES OF SUBSTANTIVE POLICY STATEMENTS

The Administrative Procedure Act requires the publication of substantive policy statements issued by agencies (A.R.S. § 41-1013(B)(14)). Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice. Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect the internal procedures of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the Arizona Administrative Procedure Act. If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

### NOTICE OF SUBSTANTIVE POLICY STATEMENT

#### ARIZONA CORPORATION COMMISSION SECURITIES DIVISION

**1. Title of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**

Distribution of Identifying Statements and Preliminary Prospectuses, September 17, 1986, CCH Blue Sky Law Reporter ¶ 9601

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Initial issue date: date listed in item 1 above

Initial effective date: same as issue date

Repeal date: March 23, 2004

**3. Summary of the contents of the substantive policy statement:**

The policy statement described conditions under which issuers of securities could distribute identifying statements and preliminary prospectuses prior to registration.

**4. Federal or state constitutional provision; federal or state statute; administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

17 CFR § 230.134 (1986); 17 CFR § 230.430 (1986); 17 CFR § 230.431 (1986); A.R.S. §§ 44-1871, 44-1891, and 44-1898(D).

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

The content of the policy statement listed above has been replaced by rule. The policy statement is therefore no longer needed and is repealed.

### NOTICE OF SUBSTANTIVE POLICY STATEMENT

#### DEPARTMENT OF HEALTH SERVICES EMERGENCY MEDICAL SERVICES

**1. Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**

#SP-057-PHS-EMS

EMS 413: Clarification of Observance of Service Area Under 9 A.A.C. 25, Article 9

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

March 12, 2004

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement is to clarify the requirements established under R9-25-907 for observance of a ground ambulance service certificate holder's service area.

**4. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a new substantive policy statement.

**5. The name and address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**

Name: Judi Crume, Bureau Chief

Address: Arizona Department of Health Services  
Bureau of Emergency Medical Services

**Notices of Substantive Policy Statements**

150 N. 18th Avenue, Suite 540  
Phoenix, AZ 85007

Telephone: (602) 364-3149  
Fax: (602) 364-3568  
E-mail: jcrume@hs.state.az.us

or

Name: Kathleen Phillips, Rules Administrator  
Address: Arizona Department of Health Services  
1740 W. Adams, Suite 202  
Phoenix, Arizona 85007

Telephone: (602) 542-1264  
Fax: (602) 364-1150  
E-mail: kphilli@hs.state.az.us

**6. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement may be obtained from the Department of Health Services, Bureau of Emergency Medical Services, 150 N. 18th Avenue, Suite 540, Phoenix, AZ 85007 for a 25 cents per page copying charge. Payment is accepted in cash, or money order made payable to the Department of Health Services.